213201-00226

### **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Modesto M. PESAVENTO : Group Art Unit: 1722

Application. No.: 10/510,915 : Examiner: Not Yet Assigned

Filed: October 12, 2004 : Confirmation No.: 7316

For: METHOD AND DEVICE FOR

PROCESSING PREFORMS
August 19, 2005

Mail Stop <u>Amendment</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B.

### FORMAL MATTERS

In accordance with 37 C.F.R. § 1.97(b)(3), since a first Official Action on the merits of the subject application has not yet been issued, neither a certificate, petition nor payment of a fee is believed to be required for this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee which may be required in connection with this paper to Deposit Account No. 50-1710.

### **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicant

Richard P. Bauer

Registration No. 31,588

Patent Administrator KATTEN MUCHIN ZAVIS ROSENMAN 525 West Monroe Street Chicago, Illinois 60661-3693 Facsimile: (312) 902-1061

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Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number

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-			U. S. PATENT	DOCUMENTS		
ditials	Cite Document No.1  Number-Kind Cod  US- 2 079 509		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	2	<sup>US-</sup> 3,078,508	02-26-1963	M. W. Martin, Jr.		
2005	<u>u</u>	<sup>US-</sup> 3,947,205	03-30-1976	Edwards		
MARKOX	§   _	<sup>US-</sup> 4,047,873	09-13-1977	Farrell		
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		<sup>US-</sup> 4,208,177	06-17-1980	Allen		
		<sup>US-</sup> 4,295,811	10-20-1981	Sauer		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>			
		JP 61-120723	06-07-1986	The Japan Steel Works Ltd.					
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number 10/510,915

Filing Date October 12, 2004

First Named Inventor Modesto M. Pesavento

Art Unit 1722

Examiner Name Not Yet Assigned

Attorney Docket Number

Sheet 2

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	Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	<del></del>		Number-Kind Code <sup>2 (# known)</sup>			1 igures Appear
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PTO/SB/08B (08-03)

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Sheet	3	of	3	Attorney Docket Number	213201-00226		

	NON PATENT LITERATURE DOCUMENTS									
01	Examiner Aitials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>						
AU6 1	∫C17 3		Patent Abstracts of Japan for JP 61-120723, published June 7, 1986, [retreived online on January 27, 2005], retreived from internet <url cgi-bin="" https:="" jp61120723a2="" viewpat.cmd="" www.delphion.com=""></url>							
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